Exhibit 1

WEALTH MANAGEMENT

SP 05 003092 21361 E 38 ASNGLP

PABLO I
MARIA ,
TEN CO,
PO BOX
TOA BA.
TOA BA.

1330 474-1993 MARIA A MARTINEZ DEL VALLE TEN COM PABLO DEL VALLE RIVERA

TOA BAJA PR 00951-2319

PO BOX 2319

Portfolio at a Glance

Account Number: 5TA-047432 March 1, 2018 - March 31, 2018

## This Period Pear-to-Date ### S2,849,100.95		\$177.642.05	Estimated Annual Income
This Period \$2,849,100.95 \$2,056.65 5,056.65 0.00 238,232.68	\$3,092,390.28	\$3,092,390.28	ENDING ACCOUNT VALUE
This Period \$2,849,100.95 \$2, 5,056.65 5,000	455,771.07	238,232.68	Net Change in Portfolio ¹
This Period \$2,849,100.95 5,056.65	-6.30	0.00	Other Transactions
This Period \$2,849,100.95	15,436.44	5,056.65	Dividends, Interest and Other Income
	\$2,621,189.07	\$2,849,100.95	BEGINNING ACCOUNT VALUE
	Year-to-Date	This Period	

Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Table of Contents

oc#:50	Money Market Fund Detail	Limit Service Information	Asset Summary
		es	

w	N	2	12	N	قابو(29.00
	Important Information and Disclosures	Messages	Transactions by Type of Activity	Activity Summary	Portfolio Holdings Disclosures	School

25 26 27 28 29

	Please review your allocation periodically with your Investment Advisor	
\$2,849,100.95	Account Total (Pie Chart)	100%
1,647,631.22	Mutual Funds	52%
7,057.68	Equities	1%
1,118,075.00	Fixed Income	44%
76,337.05	Cash, Money Funds, and Bank Deposits	Sec Cri
LESK PERIOR	Asset Type	rerent

Case:17-03283-LTS

Asset Summary

Page 1 of 31

092,390.28

640,020.76

6,992.16

368,987.50

76,389.86

This Period



Summary of Gains and Losses

Short-Term Gain/Loss
OLong-Term Gain/Loss OThis summary excludes transactions where cost basis information is not available. Net Gain/Loss 7 This Period 0.00 0.00 0.00 Realized Year-to-Date 0.00 0.00 0.00 -2,075,013.32 -2,061,278.63 -13,734.69

Unrealized

Client Service Information

1 Your Investment Advisor: 13: Your Investment Advisor: 133 Telephone Number: (787) 474-1993 Contact Information Web Site: WWW.ORIENTALONLINE.COM Client Service Telephone Number: (800) 981-5554 Client Service Information

Your Account Information

ON STMENT OBJECTIVE INCOME

Rick Exposure: MODERATERISK

Plasse review your investment objective. If you wish to make a change or have any questions please contact your investment Advisor.

TAX LOT DEFAULT DISPOSITION METHOD

Chault Method for Mutual Funds:

Desult Method for Stocks in a Dividend Reinvestment Plant

Desult Method for all Other Securities: BOND AMORTIZATION ELECTIONS First In First Out First In First Out

OA hortize premium on taxable bonds based on Constant Yield Method: First In First Out Yes Constant Yield Method

Accrual market discount method for all other bond types: Onclude market discount in income annually:

O'ou have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your investment Advisor for more information.

ELECTRONIC DELIVERY

Money Market Fund Detail

GEDERATED CAPITAL RESERVES

Ourrent Yield: 0.88% Activity Ending: 03/29/18

Opening Reference Sweep Money Market Fund Activity Type

Description

Account humber: 5TA-047432 BOLOGOGO STOLE



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76,337.05

76,337,05

Amount

Balance

subsidiary of The Bank of New York Mellon Corporation (BNY Mellon) Clearing through Pershing LLC, a wholly own

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March 1, 2018 - March 31, 2018 PABLO DEL VALLE RIVERA

Desc:

Case:17-03282PN PMT MONTHLY ON OF	PUERTO RICO COMWLTH OPOTH 4.150% 08/01/17 B/E PID 12/28/11 MATUREDSE	5019 Date Acquired	TOTAL CASH	10曲1/18	CANONEY FUNDS, CHONEY Market CHONEY Market CHONEY MARKET	31/19 9 4 o		01/3 otal All Moi	OFEDERATED	Money M
DZ/07/12 CPN PMT MONTHLY ON 01 FAX EXEMPT - PUERTO RICO RESIDENTS ONLY 12/22/11 "3.12 2,665,000.000	#FIXED INCOME 44:00% of Portfolio (In Maturity Date Sequence) CMunicipal Bonds PUERTO RICO COMMUTH GOVI DEV BK SNR NTS O2011H 4.150% 08/0/J7 B/E PDTD 12/28/11 MATUREDSECURITY TRADES FLAT 1ST CPN DTE	Quantity	TAL CASH, MONEY FUNDS, AND BANK DEPOSITS	76,389.860	CASH, MONEY FUNDS, AND BANK DEPOSITS 3.00% of Portfolio Choney Market Great Capital Reserves	Quantity	Portfolio Holdings	Total All Money Market Funds	CSweep Money Market Fund (continued) OFEDERATED CAPITAL RESERVES (continued) 103/29/18 Deposit	Money Markel Fund Detail (continued) a Activity Type
Gifted	Maturity Date PN DTE	Unit Cost	NK DEPOSITS	N/A	OSITS 3.00%	Account Number	A.		ued)	tinued)
2,665,000.00 35.7 Original Cost Basis: \$2,665,005,00	Sequence) Security Ident	Adjusted Cost Basis		03/29/18	of Portfolio	Activity Ending	-		INCOME REINVEST	Description
35.7500 65,005.00	Security Identifier: 745177FB6	Market Price	\$76,337.05	76,337.05		Opening Balance			INVEST	
952,737.50		Market Value	\$76,389.86	76,389.86		Closing Balance			*	
4.712,262.50		Unrealized Gain/Loss	\$1.90	1.90		Accrued Income			F	
0.00		Acqued Interest	\$134.49	134.49		Income This Year	b		52.81	Amount
110,597.50 11:60%		Estimated Estimated Annual Income Yield		0.80% 0.88%		30-Day Current Yield Yield		\$76,389.86	76,389.86	Balance

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C	OTAL EQUITIES	OTotal Common Stocks	17-Dividend Option: Cash 17-Dividend Option: Cash 17-Dividend Option: Cash	CCCommon Stocks	Date Acquired	OTOTAL FIXED INCOME	以 otal Municipal Bonds	019-1 E2/13	CLEURITY IN DEFAULT IST CPN DTE 08/01/09 CPN PMT MONTHLY ON 01 OTAL EXEMPT - PUERTO URBAN RESIDENTS ONLY MEDIA RATING CA	PIGRTO RICO SALES TAX FING CORP SALES 17 AN REV SALES TAX FIRST SUBORD REV BDS 16 ADOW 08/01/39 B/E DTD 06/25/09CALLABLE 04/01/78 @ 13 05000	320/03/13*	1 SECURITY IN DEFAULT IST CPN DTE 09/01/07 2 CPN PMT MONTHLY ON 01 3 CPN PMT MONTHLY ON 01 3 CPN PMT MONTHLY ON 01 4 CPN CAN CAN CAN CAN CAN CAN CAN CAN CAN CA	TE.050% 08/01/36 B/E DTD 07/31/07/CALLABLE 04/28/18 @	FIXED INCOME (continued) Comunicipal Bonds (continued)	Desc:	Portfolio Holdings (continued)
			168.000	ortfolio	Quantity		3,665,000.000	500,000.000	PN DTE 08/01/09	JE CORP SALES JEORD REV BDS 5/25/09CALLABLE 04/0	500,000.000	PN DTE 09/01/07	IG CORP SALES S 2007-B 7/31/07CALLABLE 04/28	nued) inued)	Quantity	gs (continued)
			N/A		Unit Cost			83.0130		VIB @	83.5460		//18 @		Unit Cost	
	\$0.00	00.00	Securit C Please Provide		Cost Basis	\$3,497,792.13	\$3,497,792.13	415,062.81 2 Original Cost Basis: \$409,38131		Security Iden	417,729.32 6 Original Cost Basis: \$410.006.31		Security Iden		Adjusted Cost Basis	
			Security Identifier: BPOP CUSIP: 733174700 e 41.6200		Market Price			23,2500 09,38131		Security Identifier: 74529JGP4	60.0000		Security Identifier: 74529JAC9		Market Price	
	\$6,992.16	\$6 992 16	6,992.16		Market Value	\$1,368,987.50	\$1,368,987.50	116,250.00			300,000.00				Market Value	
	\$0.00	50.00	NA		Gain/Loss	\$2,128,804.63	-\$2,128,804.63	-298,812.81			117,729.32				Unrealized Gain/Loss	
					Ŀ	\$0.00	\$0.00	0.00			0.00				Accrued Interest	
	\$168.00	\$168.00	168.00		Annual Income	\$110,597.50	\$110,597.50								Estimated I Annual Income	
			2.40%		Yield										Estimated Vield	

Account Number: 5TA-047432





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Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)
Pershing LLC, member HRRA, NYSE SIDC

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March 1, 2018 - March 31, 2018 PABLO DEL VALLE RIVERA

Portfolio Holdings (continued)

Desc:

13						Unrealized	Estimated Estimated	ted
Opate Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss		Yield
MUTUAL FUNDS 52.00% of Portfolio	.00% of Portfolio							
MARS TOTAL RETURN FUND CLASS A	CLASS A		Security	Security Identifier: MSFRX				
Open End Fund			0	CUSIP: 552981300				
Dividend Option: Reinvest: Capital Gains Option: Reinvest	apital Gains Option, Reinw	st						
	1,660,148	13.9690	23,189.96	18.6100	30,895.35	7,705.39	612.53 1.9	98%
₩8/27/03 °3	1,494,647	13.9690	20,878.14	18.6100	27,815.38	6,937.24	S.	.98%
7027/03 -3	1,821,274	13,9730	25,448.33	18.6100	33,893.91	8,445.58	-24	98%
09/27/03 3	0.259	13,9490	3.61	18.6100	4,82	1.21	100	.98%
9,827/03.3	728.915	13.9770	10,188.14	18,6100	13/565.11	3,376.97		1.98%
1/08/37/03 :3	332.142	13.9770	4,642.40	18.6100	6,181,17	1,538.77		1.98%
/3/03 · 1	0.041	13,9360	0.57	18.6100	0.76	0.19	100	98%
10829/03 -3	1.210	14,1090	17.07	18.6100	22.52	5.45	0.45 1.9	.98%
G8/29/03 - 3	0.188	14.1110	2.65	18.6100	3.50	0.85	0.07 1.9	98%
(1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	7.167	14.1320	101.29	18.6100	133.39	32.10	-	.98%
	1.117	14.1320	15.78	18.6100	20.78	5,00		98%
1001/03 -3	10.574	14.4240	152.52	18.6100	196.79	44.27	3.90 1.9	95
9-101/03 -3	1.652	14,4250	23.83	18.6100	30.74	6,91	0.61 1.9	1.98%
1/28/03:3	10.491	14,5030	152.16	18.6100	195:24	43.08	3,87 1.9	200
5 1/28/03 -3	1,634	14.5040	23.70	18,6100	30.41	6.71	0.60 1.9	.98%
#12/31/03 -3	10.078	15.1040	152.21	18.6100	187.54	35.33	3,72 1.9	1.98%
02/31/03:3	1.563	15,1050	23.61	18.6100	29.09	5,48	0.58 1.9	1.98%
D)1/30/04 -3	9,814	15.2930	150.09	18.6100	182.64	32.55	3,62 1,9	8%
01/30/04 **	1.524	15,3010	23.32	18.6100	28.36	5.04	0.56 1.9	1.98%
D2/27/04 *3	9.558	15.5340	148.48	18.6100	177.88	29.40	3.53 1.9	8%
02/27/04 .3	1,490	15.5420	23.16	18.6100	27.73	4.57	0.55 1.9	1.98%
83)3/31/04 -3	9.679	15.3730	148.80	18.6100	180.13	31.33	3.57 1.9	98%
303/31/04 73	1.509	15.3800	23.21	18.6100	28.09	4.88	0.56 1.9	98%
O04/30/04 13	10.407	15,1050	157.20	18.6100	193.68	36.48	3.84 1,9	98%
704/30/04 73	1.626	15.1050	24.56	18,6100	30/26	5.70	0.60 1.9	98%
05/28/04.3	10,401	15.0530	156.56	18,6100	193.55	36,99	3.84 1.9	.98%
505/28/04 *.3	1.623	15.0590	24,44	18.6100	30:20	5.76	0.60 1.9	98%
06/30/04*3	10.368	15.3040	158.66	18.6100	192.94	34.28	3.83 1.9	1.98%
06/30/04**3	1,610	15.3110	24.65	18.6100	29.96	5.31	0.59 1.9	1.98%

Account Number: 5TA-047432

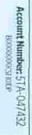
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Desc Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Estimated Annual Income Yield
MUTUAL FUNDS (continued) 5MFS TOTAL RETURN FUND CLASS A (continued)	nued) SS A (continued)						
707/30/04 -3	10.415	15.1140	157.42	18.6100	193.83	36.41	3.84 1.98%
07/30/04*,3	1.620	15.1170	24.49	18.6100	30.15	5.66	
108/31/04 .3	10.813	15.3140	165.59	18.6100	201.23	35.64	777
Op8/31/04 *.3	1.682	15.3220	25.77	18.6100	31.30	5,53	
59/30/04 -3	10.691	15.4340	165.01	18.6100	198.96	33.95	3.94 1.98%
309/30/04 -3	1.669	15.4340	25.76	18.6100	31.06	5.30	0.62 1.98%
01/0/29/04 1.3	11.263	15.6350	176.09	18.6100	209.60	33.51	4.16 1.98%
10/29/04 -3	1.755	15.6430	27.45	18.6100	32.66	5.21	0.65 1.98%
C11/30/04 -3	10.972	15.6450	171.65	18.6100	204.19	32.54	4.05 1.98%
C 1/30/04 73	170.381	15.6440	2,665.49	18.6100	3,170.78	505.29	
320/04 73	3,325	15.6460	52.02	18.6100	61.88	9.86	1.23 1,98%
13/20/04 **	1.706	15.6520	26.70	18.6100	31.75	5.05	0.63 1.98%
191730/04:3	27,053	15.6490	423,35	18.6100	503.45	80.10	1574
1/2/31/04 *.3	15.314	16,0140	245.24	18.6100	284,99	39.75	5.65 1.98%
/3:00/04:3	2.387	16.0190	38.24	18.6100	44.42	6.78	
01/05:3	11.464	15.8250	181.41	18.6100	213.34	31.93	4.23 1.98%
01/31/05	1.785	15.8340	28.26	18.6100	33.21	4.95	
0027Z8/05 →	11,476	15.9540	183.08	18.6100	213.56	30.48	
E- 50/8	1.787	15.9610	28.52	18.6100	33.25	4.73	0.66 1.98%
0.01/05 -2	11.525	15.7850	181,93	18.6100	214.49	32.56	4.25 1.98%
	1,790	15.7890	28.26	18.6100	33.31	5.05	
104/29/05 73	10.334	15.6240	161.45	18.6100	192.31	30.86	-
504/29/05	1.612	15.6330	25.20	18.6100	30.00	4,80	-
#05/31/05 14	10.263	15.8640	162.81	18.6100	190.99	28.78	-
805/31/05 :-3	1.601	15.8710	25.41	18.6100	29.79	4.38	
△06/30/05 *-3	10.202	15.9640	162.86	18.6100	189.85	26,99	3.76 1.98%
06/30/05 *-3	1.594	15,9720	25.46	18.6100	29.66	4.20	
07/29/05 -3	9.967	16.2050	161.51	18.6100	185,48	23.97	
-07/29/05 *-3	1.550	16.2050	25.12	18.6100	28.85	3.73	0.57 1.98%
308/31/05 *3	9.970	16,1650	161.16	18.6100	185,54	24.38	
208/31/05	1,553	16.1680	25.11	18,6100	28.90	3.79	100
O9/30/05 **	9.994	16.1150	161.05	18.6100	185,98	24.93	
709/30/05 -3	1.560	16.1210	25.15	18.6100	29.03	3,88	
10/31/05	10.204	15,8640	161,87	18.6100	189.89	28.02	
S 0/31/05 -3	1.585	15.8730	25.16	18.6100	29.50	4.34	
C 1/30/05 -3	34,194	15.3140	523.65	18.6100	1 155 30	20.42	
11/20/02	02.064	13.3140	07.004	10.0100	00,001,1	70,407	17630
3							







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Pershing LLC, member FINRA, NYSE, SIFC

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Desc:

12/07/06:3	œ12/07/06°-3	S12/07/06*3	112/01/06 *3	12/01/06-3	03 1/01/06-73	211/01/06-3	310/02/06 -3	_10/02/06 -3	09/01/06-3	09/01/06	OB/01/06*3	C08/01/06 *3	07/03/06**3	07/03/06 -3	106/01/06 -3	10001/06-3	0301/06.3	F0801/06 -3	C04703/06 -3	04/03/06	1001/06-3	3001/06:3		002/01/06:3	12/30/05 -3	n120/05:3	e 1/30/05 · 3	©11/30/05 • 3	d:(11/30/05 *2	1/30/05:3	OMES TOTAL RETURN FUND CLASS A (continued)	Opate Acquired	13:3
253.768	3.316	31.701	2.250	10.224	2.283	10.510	1.679	10.782	1.721	11.049	1.748	11.224	1.765	11.332	1.738	11.164	1.706	10.953	1.713	10.996	1.717	11.021	1.719	11.039	1.716	11.026	43.009	9.669	5.328	276,160	inued) ASS A (continued)	Quantity	
16,1750	16.1730	16,1750	16.6490	16,6650	16.3780	16.3950	16.0820	16.0750	15.8060	15,8040	15,6070	15,6040	15.4810	15.4740	15.5130	15,5050	15.8220	15.8150	15.6580	15.6540	15.6270	15.6240	15.6320	15.6250	15.3860	15.3850	15,3190	15,3190	15.3190	15,3140		Unit Cost	
4,104.66	53.63	512.75	37.46	170.38	37.39	172.32	27.00	173,32	27.20	174,62	27.28	175.14	27.32	175.34	26.96	173.09	26.99	173.22	26.82	172.13	26.83	172.19	26.87	172.48	26.40	169.63	658.85	148,12	81.61	4,229.12		Cost Basis	
18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18,6100	18.6100	18.6100	18.6100	18,6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18,6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100		Market Price	
4,722.62	61.71	589,95	41.87	190.26	42.49	195.60	31.24	200.66	32.02	205.62	32.53	208.87	32.84	210.88	32.34	207.76	31.75	203.83	31.88	204.63	31,95	205.10	31.99	205.43	31.93	205.19	800.40	179.94	99.14	5,139.33		Market Value	
617.96	8.08	77.20	4.41	19.88	5.10	23.28	4,24	27,34	4.82	37.00	5.25	33.73	5.52	35.54	5.38	34.67	4.76	30.61	5.06	32.50	5.12	32.91	5.12	32,95	5.53	35.56	141.55	31.82	17.53	910.21		Gain/Loss	Unrealized
93.63	1.22	11.70	0.83	3.77	0.84	3.88	0.62	3.98	0.63	4.08	0.64	4.14	0.65	4.18	0.64	4.12	0.63	4.04	0.63	4.06	0.63	4.07	0.63	4.07	0.63	4.07	15.87	3.57	1.97	101.89		Annual Income	Estimated Estimated
1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1,98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%	1.98%		Yield	limated

Account Number: 5TA-047432





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Portfolio Holdings (continued)

	0000			The same of the sa				
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1.98%	3.97	21,18	200.09	18.6100	178.91	16.6400	10,752	12/03/07 -3
1.98%	0.97	4.14	49.04	18.6100	44.90	17.0400	2.635	Ca11/01/07 :3
1.98%	1.67	7.04	84.28	18.6100	77.24	17.0550	4.529	Se11/01/07:3
1.98%	3.87	16.46	195.00	18.6100	178.54	17,0400	10.478	111/01/07:3
1.98%	0.98	4.65	49.46	18,6100	44.81	16.8590	2,658	7-10/01/07:3
1.98%	1.76	8,34	88.91	18.6100	80.57	16.8640	4.778	0310/01/07:3
1.98%	3.90	18.50	196.67	18.6100	178,17	16.8590	10.568	210/01/07 -3
1.98%	1.00	5.69	50.40	18.6100	44.71	16.5100	2.708	309/04/07 -3
1.98%	1.84	10.47	93,06	18.6100	82.59	16.5170	5.000	_09/04/07 -3
1.98%	3.97	22.61	200.41	18.6100	177.80	16.5100	10.769	09/04/07:3
1.98%	1.00	5.85	50.47	18.6100	44.62	16.4530	2.712	08/01/07-3
1.98%	1.72	10.07	86,98	18.6100	76.91	16.4560	4.674	□08/01/07 -3
1.98%	3.98	23.29	200.71	18.6100	177.42	16.4510	10.785	008/01/07 -3
1.98%	0.94	4.41	47.66	18.6100	43.25	16.8880	2.561	#07/02/07:3
1.98%	1.70	7.88	85.51	18.6100	77.63	16.8950	4,595	507/02/07 -3
1.98%	3.76	17.53	189.53	18,6100	172.00	16.8890	10.184	107/02/07 *3
1,98%	0.93	3.78	46.95	18,6100	43,17	17_1110	2.523	-06/01/07 -3
1,98%	1.64	6,62	82.94	18.6100	76.32	17.1240	4,457	0.01/07:3
1.98%	3.70	15.05	186.71	18.6100	171.66	17.1100	10.033	001/07:3
1,98%	0.94	4.58	47.66	18.6100	43.08	16.8220	2.561	C05/01/07 -3
1,98%	1.64	7.90	82.87	18.6100	74.97	16.8360	4.453	05/01/07 -3
1.98%	3.76	18.22	189.54	18.6100	171.32	16.8210	10.185	01/07:3
1.98%	1,11	6.61	55,91	18.6100	49.30	16,4110	3.004	30.002/07:3
1,98%	2.00	11.87	100.64	18,6100	88.77	16.4150	5,408	1/04/02/07:3
1.98%	4.41	26.27	222.31	18.6100	196.04	16.4110	11.946	1902/07:3
1.98%	1.11	6.89	56,07	18.6100	49.18	16,3230	3.013	£, 20/10750
1.98%	2.25	13.94	113.51	18.6100	99.57	16.3250	6.099	1. 1/07 P
1.98%	4.42	27.44	223.02	18.6100	195.58	16.3200	11.984	C03/01/07 -3
1.98%	101	6.74	55.81	18.6100	49.07	16.3620	2.999	O2/01/07 -3
1.98%	2.06	12.50	104.12	18,6100	91.62	16.3760	5.595	02/01/07:3
1.98%	4.40	26.83	221.94	18.6100	195.11	16.3600	11.926	102/01/07:3
1.98%	0.89	5.84	44.77	18.6100	38.93	16.1800	2.406	312/29/06:3
1.98%	1.55	10.13	78.09	18.6100	67.96	16.1960	4.196	1/12/29/06:3
1.98%	3.53	23.25	178.04	18.6100	154.79	16.1800	9.567	1912/29/06:3
1.98%	14.59	96.48	735.84	18.6100	639.36	16.1700	39.540	112/07/06 3
1.98%	0.19	1.25	9.60	18.6100	8.35	16.1820	0.516	12/07/06
1.98%	1.82	12.05	91.92	18.6100	79.87	16,1710	4.939	712/07/06 -3
							OWES TOTAL RETURN FUND CLASS A (continued)	5WFS TOTAL RETURN FUND CLASS A (
			11					
Yield	Annual Income Yield	Gain/Loss	Market Value	Market Price	Cost Basis	Unit Cost	Quantity	Date Acquired
1	Estimated Es							es
								С







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Perthing LIC. member FREEA. MYSE, SPC

Desc:

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss	Annual Income Yield	Yield
MUTUAL FUNDS (continued)	~							
MFS TOTAL RETURN FUND CLASS A (continued)	CLASS A (continued)							
1/2/03/07 -3	4.849	16.6550	80.75	18.6100	90.23	9.48	1.79	1.98%
12/03/07 -3	2.704	16.6380	44.99	18,6100	50.32	5.33	1.00	1.98%
©12/11/07 *.3	57,180	15.3000	874.86	18.6100	1,064.12	189.26	21.10	1.98%
12/11/07 -3	383,045	15.3000	5,860.59	18.6100	7,128.47	1,267.88	141.33	1.98%
32 1/07 -3	34.862	15.3040	533.53	18,6100	648.78	115.25	12.86	1.98%
出1/07 -3	233.536	15.3040	3,574.04	18,6100	4,346.10	772.06	86.17	1.98%
	14.380	15.3000	220.01	18.6100	267.61	47.60	5.31	1,98%
1	96.326	15.3000	1,473.79	18.6100	1,792.63	318.84	35,54	1.98%
107:107:1	12.775	15.2400	194.69	18.6100	237.74	43.05	4,71	1.98%
	5,983	15.2540	91.26	18,6100	111,34	20.08	2.21	1.98%
12/31/07:3	3.213	15.2380	48.96	18.6100	59.79	10.83	1.19	1.98%
C. 80/10720	13,150	14.8400	195.14	18.6100	244.72	49.58	4.85	1.98%
5.80/10年0	6.038	14.8440	89.62	18.6100	112.36	22.74	2.23	1.98%
1,08.1	3.307	14.8380	49.07	18.6100	61.54	12.47	1.22	1.98%
-10X3/08 -3	13,499	14,4900	195.60	18.6100	251.22	55.62	4.98	1.98%
05/03/08**	6.347	14.5040	92.06	18.6100	118.12	26.06	2.34	1.98%
03/03/08**	3,395	14.4890	49.19	18.6100	63.18	13.99	1.25	1.98%
04/01/08 **	13,692	14.3200	196.07	18.6100	254.81	58.74	5.05	1.98%
04/01/08*3	6.463	14.3340	92.64	18.6100	120.28	27.64	2.38	1.98%
D04/01/08*-3	3,443	14.3220	49.31	18.6100	64.07	14.76	1.27	1.98%
05/01/08 -3	13.389	14.6800	196.55	18.6100	249.17	52.62	4.94	1.98%
05/01/08 -3	6.296	14.6930	92.51	18.6100	117,17	24.66	2.32	1.98%
05/01/08-3	3.367	14.6810	49.43	18.6100	62.66	13.23	1.24	1.98%
06/02/08 -3	13.385	14.7190	197.02	18.6100	249.10	52.08	4.94	1.98%
06/02/08 -3	6.202	14.7330	91.38	18.6100	115.43	24.05	2.29	1.98%
06/02/08 -3	3.366	14.7210	49.55	18.6100	62.64	13.09	1.24	1.98%
07/01/08*3	14,127	13,9800	197.49	18.6100	262.90	65.41	5.21	1.98%
07/01/08 3	6.552	13.9930	91.68	18.6100	121.93	30.25	2.42	1.98%
S07/01/08 -3	3.552	13.9810	49,66	18.6100	66.10	16.44	1.31	1.98%
08/01/08	13.069	13.8500	181.01	18.6100	243.21	62.20	4.82	1.98%
00/01/00 1	2000	10010	0000	18 6100	111.70	30 40	2 22	1000

Account Number: 5TA-047432

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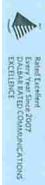
Clearing through Persting LLC, a wholly owned subsidiary of The Bank of New York Mellan Corporation (BNY Mellan) Portion LLC member (HNIA NYSE SPC

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1/02/09*3 3.262 12.6890 41.39 18.6100 60.70 2/01/09*3 20.250 13.0800 264.87 18.6100 376.85 1 2/01/09*3 3.171 13.0810 41.48 18.6100 59.01	3.262 12.6890 41.39 18.6100 60.70 20.250 13.0800 264.87 18.6100 376.85	3.262 12.6890 41.39 18.6100 60.70	2020 10 2000 10	Provide the second seco	20 826 12 6900 264 28 18 6100 387 57	3.217 12.8380 41.30 18.6100 59.87	20.538 12.8400 263.71 18.6100 382.21	12.5490 41.21 18.6100 61.12	20,700 12,3000 20,100 100,000 27,100	70 066 TO EEOO 262 TO TRAIN 300 TR	21.413 12.2600 262.52 18.6100 398.50	3.354 0.0000 0.00 18.6100 62.42	3,494 11,7400 41,02 18,6100 65,02	87/01/09°3 22:308 11.7400 261.90 18.6100 415.15 1	6/01/09*3 3.497 11.7010 40.92 18.6100 65.08	22.331 11.7000 261.27 18.6100 415.58	5701/09*3 3.648 11.1900 40.82 18.6100 67.89	23.290 11.1900 260.62 18.6100 433.43	1/09*3 3.841 10.5990 40.71 18.6100 71.48	1/09*3 24,522 10.6000 259.93 18.6100 456.35	4.624 10.0300 46.38 18.6100 86.05	29,523 10,0300 296,12 18,6100 549,42	4.281 10.8010 46.24 18.6100 79.67	27.338 10.8000 295.25 18.6100 508.76	11,4410 51.86 18.6100 84.36	28,943 11,4400 331.11 18,6100 538.63	4.097 11.2200 45.97 18.6100 76.25	26,157 11,2200 293.48 18.6100 486.78	3.938 11,6400 45.84 18.6100 73.29	7.505 11.6510 87.44 18.6100 139.67	15,661 11,6400 182.29 18,6100 291.45	3,472 13,1710 45.73 18,6100 64,61	6.336 13.1810 83.52 18.6100 117.92	13.808 13.1700 181.85 18.6100 256.97	3.255 14.0180 45.63 18.6100 60.58	6.054 14.0330 84.96 18.6100 112.67	12.941 14.0200 181.43 18.6100 240.83	13.8480 45.52 18.6100 61.17	SWISTOTAL RETURN FUND CLASS A (continued)	Unro Darte Acquired Quantity Unit Cost Cost Basis Market Price Market Value Gail
						18.57	118.50				Con 11	62.42	24.00	153.25	24.16	154.31	27.07	172:81	30.77		39.67	253.30		213.51		207.52											59.40	15.65		Unrealized Gain/Loss
	1.17 1.98%	-				1.19 1.98%	7.58 1.98%					1.24 1.98%	1.29 1.98%	8.23 1,98%	1.29 1.98%	8,24 1,98%		8,59 1,98%	1.42 1.98%		1.71 1.98%	10.89 1.98%		10.09 1.98%				9.65 1.98%						5.09 1.98%	1.20 1.98%		4.77 1.98%	1.21 1.98%		Estimated Estimated Annual Income Yield







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Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon

Corporation (BNY Mellon)

Ferthing LLC, member FRRA, NYSE, SNC

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PABLO DEL VALLE RIVERA March 1, 2018 - March 31, 2018

Portfolio Holdings (continued)

		03/01/11 -3	03/01/11.3	02/01/11.3	02/01/1113	12/31/10*3	12/31/10*3	12/01/10 -3		11/01/10**	11/01/10.13	0/01/10*3	0/01/10*3	09/01/10*3	01/10	02/10.3	0H)Z/10:3	11/10:3	E. 01/107200	05/01/10/3	5.01/10	0.03/10:3) 5/1 03/10 :3	61/10	01/10°3	301/10:3	£: 01/10/	€. 01/10/20)2/01/10:3	12/31/09 -3	MFS TOTAL RETURN FUND CLASS A (continued)	MUTUAL FUNDS (continued)	Portfolio Holdings (continued)
2.735	17,461	2,730	17.430	2.780	17.752	2.805	17.908	2,900	18.517	2.876	18.361	2.921	18.652	3.047	19.453	2.967	18,944	3.090	19.727	3.010	19.217	2.856	18.237	3.095	19.759	3,177	20.287	3.212	20,509	3.166	LASS A (continued)	rtinued)	gs (continued) Quantity
14.5370	14.5400	14.5380	14.5400	14.2520	14.2500	14.1000	14.1000	13.6100	13.6100	13.7000	13.7000	13,4610	13,4600	12.8780	12.8800	13.2020	13.2000	12.6500	12.6500	12.9600	12.9600	13.6310	13.6300	13.5190	13.5200	13,1410	13,1400	12.9700	12.9700	13,1300			Unit Cost
39.76	253,88	39.69	253,43	39.62	252.97	39.55	252.50	39.47	252.02	39.40	251.54	39.32	251.06	39.24	250.55	39:17	250.06	39.09	249.55	39.01	249.05	38.93	248.57	41.84	267.14	41.75	266.57	41.66	266,00	41,57			Cost Basis
18.6100	18,6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18,6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100	18.6100			Market Price
50.90	324.95	50.80	324.37	51.74	330.37	52.20	333.27	53.97	344.60	53.52	341.70	54.36	347.11	56.71	362.02	55.21	352.55	57.51	367.12	56,02	357.63	53.15	339.39	57.60	367.71	59.12	377.54	59.78	381.67	58.92			Market Value
11.74	71,07	11.11	70.94	12.12	77,40	12.65	80.77	14.50	92.58	14.12	90.16	15.04	96.05	17.47	111.47	16.04	102.49	18.42	117.57	17.01	108.58	14.22	90.82	15.76	100.57	17.37	110.97	18.12	115.67	17.35			Unrealized Gain/Loss
								-v							-			- 60	Œ		7.09 1.98%						300	-32		1.17 1.98%			Estimated Estimated Annual Income Yield

E ONIT OND C	3.166	13,1300	41.57	18.6100	58.92	17.35
)Z/01/10°.3	3.212	12,9700	41.66	18.6100	59.78	18.12
)3/01/10 -3	20.287	13,1400	266.57	18.6100	377.54	110.97
13	3.177	13.1410	41.75	18.6100	59.12	17.37
5.5	19.759	13.5200	267.14	18.6100	367.71	100.57
۳.	3.095	13.5190	41.84	18.6100	57.60	15.76
	18.237	13.6300	248.57	18.6100	339.39	90.82
13	2.856	13.6310	38,93	18.6100	53.15	14.22
Ti.	19.217	12.9600	249.05	18.6100	357.63	108.58
ü	3.010	12.9600	39.01	18.6100	56,02	17.01
ัน	19.727	12.6500	249.55	18.6100	367.12	117.57
1.0	3.090	12.6500	39.09	18.6100	57.51	18.42
13	18,944	13.2000	250.06	18.6100	352.55	102.49
, i	2.967	13.2020	39:17	18.6100	55.21	16.04
ŭ	19.453	12.8800	250.55	18.6100	362.02	111.47
13	3.047	12.8780	39.24	18.6100	56.71	17.47
	18.652	13.4600	251.06	18.6100	347.11	96.05
, w	2.921	13.4610	39.32	18.6100	54.36	15.04
ü	18.361	13.7000	251.54	18.6100	341.70	90.16
ii	2.876	13.7000	39.40	18.6100	53.52	14.12
ů	18,517	13.6100	252.02	18,6100	344.60	92.58
ü	2,900	13.6100	39.47	18.6100	53.97	14.50
ı	17.908	14.1000	252.50	18.6100	333.27	80.77
ü	2.805	14.1000	39,55	18.6100	52.20	12.65
Ti d	17.752	14.2500	252.97	18.6100	330.37	77.40
ŭ	2.780	14.2520	39.62	18,6100	51.74	12.12
ti	17.430	14,5400	253,43	18.6100	324.37	70.94
T.	2,730	14.5380	39.69	18.6100	50.80	11.11
4/01/11*3	17,461	14.5400	253,88	18,6100	324.95	71.07
ı.	2.735	14 5570	30.76	18 6100	50.90	

Account Number: 5TA-047432 800000000331000

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Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Melion Corporation (BNY Melion)
Pershing LLC more as FINDA NYCE CRC

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Portfolio Holdings (continued)

24.52 41,877.12 85.06 13.33 76.07 11.92 70.58 11.05 71.22		18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100	74.86 138,524.12 289,15 45.29 289,73 45.38 290,30 45.47 290,86 45.56 291.43	14.0190 14.3800 14.3780 14.7400 14.7390 14.9700 14.9720 14.9520 14.9520 14.9520	5.340 9,693.783 20.108 3.150 19.656 3.079 19.392 3.037 19.456 3.047 20.380	TS_Doc#5019-1 Pilet Noncovered Noncovered No. 1/12 3 15/10/17 2 3 15/1
64.39 10.09 66.02 10.34 71.45 11.20 81.49 12.76 93.74 14.69 113.89 17.83 96.06 15.05 98.52 15.44	318.73 49.93 320.80 50.25 326.68 51.18 356.85 55.89 369.63 57.90 390.34 61.13 392.88 61.54 395.98 62.03	18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100 18.6100	254.34 39.84 254.78 39.91 255.23 39.98 275.36 43.13 275.89 43.21 276.45 43.30 296.82 46.49 297.46 46.59 477.95	14.8500 14.8490 14.7800 14.7810 14.5400 14.5380 14.3600 14.3620 13.8900 13.8890 13.1810 14.0600 14.0580 13.9800 13.9780	continued) 17.127 2.683 17.238 2.700 17.554 2.750 19.175 3.003 19.862 3.111 20.975 3.285 21.111 3.307 21.278 3.333 34.091	MUTUAL FUNDS (continued) 5.MFS TOTAL RETURN FUND CLASS A (continued) 7.55/02/11 *3 17.127 3.55/02/11 *3 2.683 1.06/01/11 *3 2.750 1.75/01/11 *3 3.307 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333 1.75/01/11 *3 3.333







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Pershing LLC, member FINIXA, NYSE, SINC.

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Desc:

Portfolio Holdings (continued)

ODate Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Galn/Loss	Annual Income Yield
MUTUAL FUNDS (continued)	nued)						
1/0/01/123	3.029	15.1900	46,01	18.6100	56.37	10.36	1.12
1:0 1:1/01/12 3	19.477	15.1100	294.30	18.6100	362,47	68.17	7.19
e11/01/12 3	3.050	15.1110	46.09	18.6100	56.76	10,67	1.13
tei 2/03/12 3	19.489	15,1300	294.87	18.6100	362.69	67.82	7.19
n 3 3/12 3	3.052	15,1310	46.18	18.6100	56.80	10.62	1.13
一路1/123	36.764	15.2100	559,18	18.6100	684.18	125.00	13.56
912/31/123	5.758	15.2100	87.58	18.6100	107.16	19.58	2.12
J02101/13 3	18.849	15.7300	296.50	18.6100	350.78	54.28	6.95
3000 1/133 91/133	2.952	15.7320	46.44	18.6100	54.94	8.50	1.09
10381/133	18.717	15,8700	297.04	18.6100	348.32	51.28	6.91
. 05/01/133	2.931	15.8720	46.52	18.6100	54.55	8.03	1.08
CO4401/133	18.347	16.2200	297.59	18.6100	341.44	43.85	6.77
巨鹿1/133	2.874	16.2180	46.61	18.6100	53.49	6.88	1.06
0年1/133	16.863	16.4600	277.56	18.6100	313.82	36.26	6.22
1-1-3×11/13 ³	2.641	16.4600	43.47	18.6100	49.15	5.68	0.97
100/03/133	2.634	16.5300	43.54	18.6100	49.02	5.48	0.97
507/01/133	2.674	16.3130	43.62	18.6100	49.76	6.14	.0.99
#08/01/133	2.596	16.8300	43.69	18.6100	48.31	4 62	0.96
€ 09/03/13 3	2.667	16,4080	43.76	18.6100	49.63	5.87	0.98
D10/01/13 3	2.614	16.7670	43.83	18.6100	48.65	4.82	0.96
11/01/133	2,548	17.2290	43.90	18.6100	47.42	3.52	0.94
12/02/13 3	2.510	17.5180	43.97	18.6100	46.71	2.74	0.93
_12/10/13 ³	13.357	17.3500	231.75	18.6100	248,57	16.82	4.93
83 2/31/13 3	3.514	17.5700	61.74	18.6100	65,39	3.65	1.30
202/03/143	2.869	17.2320	49.44	18.6100	53.39	3.95	1.06
O3/03/143	2.796	17.7110	49.52	18.6100	52.03	2.51	1.03
7.04/01/143	2.782	17,8320	49.61	18.6100	51.77	2.16	1.03
105/01/143	3.054	17.8980	54.66	18.6100	56.83	2.17	1.13
S66/02/14 3	3.110	18,1410	56.42	18.6100	57.88	1.46	1.15
C07/01/143	3.087	18.3090	56.52	18.6100	57.45	0.93	1.14

Account Number: 5TA-047432

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Page 13 of 31

		200		0.000,000	10000000		100 miles 100 mi
	-16.98	90.33	3.3500	107.31	3.9800	76.964	11/30/04 :3
28.32 4.89%	-110.53	578,55	3.3500	689.08	3,9900	172.702	1/30/04 -3
4.83 4.89%	-17.65	98.56	3.3500	176.21	3.9500	29,421	O12/31/03 -3
	-114.88	630.93	3.3500	745.81	3.9600	188.336	12/31/03 -4
	-15.88	102.32	3.3500	118.20	3.8/00	30.542	1/28/03 -3
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28.77 4.89%	89.46	587.62	3.3500	677.08	3.8600	175.409	10/31/03
4.56 4.89%	-12.24	93.11	3.3500	105.35	3.7900	27.795	09/30/03 -3
29:21 4:89%	-80.75	596.65	3.3500	676.80	3.8000	178.105	Sp9/30/03 *+3
	-10.08	94.05	3.3500	104.73	3./300	28.075	08/29/03 -
	70.05	001.07	5.5500	27.170	3,/400	1/9.004	008/29/03 ==
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79.401,1	2,023,32	05,000.07		55,876.85		3,143,488	Covered
	1,5000	***************************************	10.0100	44,076,00	10.1400	1,007,000	O VESTILIE IN TO DOTE
	7 27 6 25	A8 811 00	18 6100	47 505 55	18 1460	2 422 654	And upston onto to Date
	1.60	54 19	18 6100	52.59	18 0600	2912	201/153
100	1.48	53.99	18.6100	52.51	16 1010	2 901	
1.11 1.98%	3.71	56.13	18.6100	52.42	17.3810	3.016	
1.09 1.98%	2,79	55.12	18.6100	52.33	17.6670	2,962	01/153
1.05 1.98%	0.58	52.82	18.6100	52.24	18.4070	2.838	18703/15 3
1.06 1.98%	1.14	53.30	18.6100	52.16	18.2120	2.864	207/01/15 3
1.04 1.98%	0.34	52.41	18.6100	52.07	18.4910	2.816	001/153
	0.73	52.72	18.6100	51,99	18.3520	2.833	001/15
	26:0	52.82	18.6100	51.90	0887.81	2.838	201/15
	0.42	52.24	18.6100	51.82	18.4610	2.80/	03/02/15
	2.00	55.73	10.0100	31./3	17.7100	2.007	32/02/13*
	200	52.72	18,6100	51.72	17,0190	2007	10000000000000000000000000000000000000
	7 40	65.83	18 6100	64 39	18 1000	2 536	2/27/173
	9.69	644.28	18.6100	634 59	18.3300	34,620	12/22/143
1.91 1.98%	1.44	96.12	18.6100	94.68	18.3310	5.165	2/22/143
1.13 1.98%	-0.18	56.87	18.6100	57.05	18.6680	3.056	012/01/143
1.14 1.98%	0.65	57.60	18.6100	56.95	18,4010	3.095	11/03/143
1.15 1.98%	1,41	58.25	18.6100	56.84	18,1600	3.130	10/01/143
	0.47	57.21	18.6100	56.74	18,4580	3.074	09/02/14-3
						MUTUAL FUNDS (continued) MFS TOTAL RETURN FUND CLASS A (continued)	MUTUAL FUNDS (continued) MFS TOTAL RETURN FUND CLASS A (
Annual Income Yield	Gain/Loss	Market Value	Market Price	Cost Basis	Unit Cost	Quantity	Date Acquired
Estimated Estimated	Unrealized						







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Pershing LLC member F148A, NYSE SIPC

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Portfolio Holdings (continued)

MFS HIGH-INCOME FUND CLASS A (continued)

MUTUAL FUNDS (continued)

Doc#:5019-1 Filed:01/31

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Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon) Parishing LLC, member FINRA, NYSE SIPC

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**	10/31/06**	C10/31/06 3	S 0/31/06 -3	09/29/06 -3	7.09/29/06:3	0.08/31/06:3	208/31/06:3	307/31/06 -3	07/31/06 -3	26/30/06 -3	06/30/06 *-3	D05/31/06 •3	C5/31/06 .3	#04/28/06:3	504/28/06:3	103/31/06 ·-	-0331/06:3	5.90/8代0	E0批8/06 ♣3	eo ±31/06 :3	10 T31/06 *.3	1/20/05 :3	31.00/05:3	717730/05*3	917/30/05:3	105.3	32 31/05:3	€)9/30/05 • 3	e09/30/05:3	108/31/05:3	108/31/05 *.3	307/29/05 €3	107/29/05 °.3	Op6/30/05 :3	106/30/05:3	05/31/05	705/31/05 *-3	MUTUAL FUNDS (continued) MES HIGH-INCOME FUND CLASS A (continued)	Date Acquired	esc:
	30.259	3.826	216,130	32 274	206.564	30.754	196.892	30.106	192.891	29.269	187.332	28.725	183,966	28 283	181.156	28.236	180.682	28,010	179.421	28.093	180.010	29,927	191.601	61.653	394,653	29.353	187.790	28.823	184.429	28.143	180.163	27.940	179.010	28.216	180.528	28.261	180.873	nued) SS A (continued)	Quantity	
	3.8500	3.8500	3.8600	3.8200	3.8300	3.8000	3,8100	3,7600	3,7700	3.7600	3.7700	3.8000	3.8100	3.8400	3.8500	3.8300	3.8400	3.8400	3.8500	3.8200	3.8300	3.8000	3.8100	3.7900	3.8000	3.8000	3.8100	3.8600	3.8700	3.9200	3.9300	3.9300	3.9400	3.8900	3.9000	3.8500	3.8600		Unit Cost	
	116.50	14.73	834.26	123,29	791.14	116.87	750.16	113.21	727.20	110.06	706.24	109.16	700.91	108.61	697.45	108.15	693.82	107.56	690.77	107.32	689.44	113.73	730.00	233.68	1,499.68	111.55	715.48	111.26	713.74	110.32	708.04	109.80	705.30	109.76	704.06	108.81	698.17		Cost Basis	
	3,3500	3,3500	3,3500	3.3500	3.3500	3,3500	3,3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500		Market Price	
	101,37	12.82	724.04	108.12	691.99	103.02	659.59	100.86	646,18	98.05	627.56	96.23	616.29	94.75	606.87	94.59	605.28	93.83	601.06	94.11	603.03	100.26	641.86	206.54	1,322.09	98.33	629.10	96.56	617.84	94.28	603.55	93.60	599.68	94.53	604.77	94.68	605.92		Market Value	
	-15,13	-1.91	-110.22	-15.17	-99.15	-13,85	.90.57	-12.35	-81.02	-12.01	-78,68	-12.93	-84,62	-13.86	-90.58	-13.56	-88.54	-13.73	-89.71	:13.21	-86.41	-13,47	-88.14	-27.14	-177.59	-13.22	-86.38	-14.70	-95.90	-16.04	-104.49	-16.20	-105.62	-15.23	-99.29	-14 13	.92.25		Gain/Loss	Unrealized
Page 16 of 31	4.96 4.3							4.94 4.8	31.63 4.8				30.17 4.8	4.64 4.8	29.71 4.1	4,63 4.8	29.63 4.8	4.59 4.8	29.43 4.8	4.61 4.8	29.52 4.8	4.91 4.8	31.42 4.8		64.72 4.8	4.81 4.8		4,73 4.8	30,25 4.8	4.62 4.8	29.55 4.8	4.58 4.8	29.36 4.8	4.63 4.8	29.67 4.8	4.63 4.8	29.66 4.8		Annual Income Y	EE .
31	4.89%	4.89%	4.89%	4,89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4,89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%		Yield	ted







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Chairing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)
Pershing LLC, minmour FIVRA, KYSE S.P.C.

Desc:

13:3 13:3 13:3 13:3 14 15 16 17 17 17 17 17 17 17 17 17	Quantity (continued) ND CLASS A (continued) 279.267 18.773 2.076 22.470 1.674 215.824 24.051	Unit Cost 3.8900 3.8800 3.8820 3.8820 3.8820 3.9100 3.99000	Cost Basis 1,086.35 72.84 8.06 87.17 6.50 843.87	Market Price 3.3500 3.3500 3.3500 3.3500 3.3500 3.3500 3.3500	Market Value 935.55 62.89 6.96 75.27 5.61 723.01 80.57	
21.99/06.73 1.99/06.73	215.824 24.051	3.9100	93.80 93.80	3.3500	72 8	723.01 80.57
1/31/1 1901/07:	11.832 188.524 33.737	3,9000	46.14 740.90	3,3500 3,3500	ã	39.64 631.55
e0.0731/07 -3	0.170 187.466	3.9320	0.67 744.24	3.3500		0.57
0108/07 13	0.200	3.9500	129.03	3.3500		0.67
19-1 18-3 18-3 19-19-19-19-19-19-19-19-19-19-19-19-19-1	191.088 33.197	3.9600	756.71 131.13	3.3500 3.3500		640.15 111.21
03/30/07 -3	0.169	3.9550	0.67	3.3500		0.57
04/30/07 -3	34.658	3.9800	137.94	3.3500		116.10
05/31/07 *3	0.212 211.008	3.9720	0.84	3.3500 3.3500		0.71 706.88
05/31/07 *3	36.456 0.222	3.9700	144.73 0.88	3.3500	1	0.74
206/29/07 -3	238.840 40.762	3.8800	926.70 157.75	3.3500 3.3500	CD	800.11 136.55
006/29/07 -3	0.233 250.997	3.8690	0.90	3.3500		0.78 840.84
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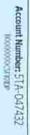


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Department		137777	7)17,000	5,5500	901710	00026.7	719,000	12701100	
Description		300.77	210 60	3.3500	510.02	2 4 100	120.691	Cizi31/08 -	
Descriptive		08.87	71.617	3.3500	100.80	2.3000	790.00	as 128/08 2	
Market Prior Mark		370.28	1,181,38	3.3500	811.10	2.3000	352.652	C 11/28/08*-3	
No. Continued Cont Basis Market Price Market Value Cont Basis Cont Ba		37.12	118.43	3.3500	81.31	2 3000	35.352	7.17/28/08	
MRS Continued Demotity Delt Cost Each Entire Demotity Delt Cost Each Entire Description Demotity Delt Cost Each Entire Description Delta D		46.89	196,35	3.3500	149.46	2.5500	58.612	0.0/31/08	
NICE Continues Cont Basis Cont Basis		267.94	1,136.21	3.3500	868.27	2.5600	339,168	32 0/31/08 -3	
NINE FIND CLASS.A (continued)		11.46	159.96	3.3500	148.50	3.1100	47.749	309/30/08*3	
NINE Contititued Name		66.17	923.62	3.3500	857.45	3.1100	275.707	09/30/08**3	
NNES (continued)		-0.88	146.68	3.3500	147.56	3.3700	43.786	18/29/08	
NNDS (contitued)		-7.55	843.71	3.3500	851.26	3.3800	251.852	08/29/08	
NNDS Contititued Cost Bank Cost Ba		-2.15	144,47	3.3500	146.62	3,4000	43 124	₩7/31/08*3	
UNDS (continued) Unit Cost Cost Back Market Price Market Value Unit Cost Estimated Estimate		-14.85	829.28	3.3500	844.13	3.4100	247.545	07/31/08*3	
NATE Confinued Unit Cost Cost Basis		-5.04	140.71	3.3500	145.75	3,4700	42,003	#06/30/08:3	
NIPS Continued Diamitty Unit Cost Estimated Estimated		-31.28	805.97	3.3500	837.25	3,4800	240.589	506/30/08 -3	
NUS Continued		-9.68	135.09	3.3500	144.77	3,5900	40.326	105/30/08 -3	
NDD Continued Unit Cost Cost Back Market Price Market Value Cost Back Cost		-57.85	775.16	3.3500	833.01	3,6000	231.392	-10×30/08-13	
Continued Cont		-10.44	139.90	3.3500	150.34	3.6000	41.761	00008-3	
NNDS (continued) Unit Ceat Ceat Basis Market Price Market Value Ceat Basis Market Price Market Value Ceat Ma		-62.85	809.81	3.3500	872.66	3,6100	241.734	E)#0/08.2	
NATIONAL Continued Conti		-5.58	143.84	3.3500	149.42	3.4800	42.937	E 80/18#£00	
NNDS (continued) Unit Cost Cost Basis Market Price Market Price Market Value Continueds Luncalized Estinated Estinate		-34.76	831,74	3.3500	866.50	3.4900	248.281	03/31/08**	
		-6.36	142.08	3.3500	148,44	3.5000	42.411	10709/08:3	
NUDS (continued) Unit Cost Cost Basis Market Price Market Value Gain/Loss Cost Basis Market Price Market Value Gain/Loss Cost Basis Market Price Cost Basis Cost Basis Market Price Cost Basis Cost Basis Cost Basis Market Price Cost Basis		-39.13	819.32	3.3500	858.45	3.5100	244.573	30,000	
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Nund (Continued) Unit Cost Basis Market Price Market Value Unrealized Gain/Loss Estimated Estimated Estimated Estimated Estimated Estimated Estimated Stationary NME FUNDS (Continued) 3.7260 0.93 3.3500 0.84 -0.09 -0.09 0.04 4 270.706 3.8100 1.031.39 3.3500 906.86 -124.53 44.40 4 45.645 3.8000 173.45 3.3500 906.86 -124.53 44.40 4 0.250 3.8060 0.95 3.3500 152.91 -20.54 -0.11 0.04 4 0.071 3.8060 0.95 3.3500 0.84 -0.11 0.04 4 0.071 3.8000 0.27 3.3500 0.35 -0.04 -0.01 0.02 4 261.613 3.8000 994.13 3.3500 876.40 -117.73 42.90 0.01 4 269.966 3.7000 98.95 3.3500 80.94 -19.61 79.61 7.31 4		-56.97	795.28	3,3500	852.25	3.5900	237.396	00031/08	
Quantity Unit Cost Cost Basis Market Price Market Value Unrealized Estimated Estimated Estimated UNDS (continued) 3.7260 0.93 3.3500 0.84 -0.09 -0.09 0.04 4 270,706 3.8100 1,031.39 3.3500 906.86 -124.53 44.40 4 270,706 3.8000 173.45 3.3500 906.86 -124.53 44.40 4 45.645 3.8000 173.45 3.3500 152.91 -20.54 -0.11 -0.04 4 0.750 3.8060 0.95 3.3500 0.84 -0.11 -0.04 4 0.071 3.8030 0.95 3.3500 0.84 -0.11 -0.04 4 261.613 3.8030 0.27 3.3500 0.24 -0.03 -0.04 -0.03 0.01 4 269.986 3.7001 98.95 3.3500 876.40 -117.73 42.90 42.90 42.90 42.90 42.90 42.90 <td></td> <td>13.33</td> <td>139.55</td> <td>3.3500</td> <td>152.88</td> <td>3.6700</td> <td>41.657</td> <td>15 1/07 L</td>		13.33	139.55	3.3500	152.88	3.6700	41.657	15 1/07 L	
Quantity Unit Cost Cost Basis Market Price Market Value Unrealized Estimated Estimated Estimated UNDS (continued) 0.250 3.7260 0.93 3.3500 0.84 -0.09 -0.09 0.04 4 270,706 3.8100 1,031.39 3.3500 906.86 -124.53 44.40 4 45.645 3.8000 173.45 3.3500 906.86 -124.53 44.40 4 0.250 3.8060 0.95 3.3500 152.91 -20.54 -0.11 0.04 4 0.103 3.7860 0.95 3.3500 0.84 -0.11 0.04 4 0.071 3.8030 0.27 3.3500 0.35 -0.04 0.02 4 261.613 3.8000 994.13 3.3500 0.24 -0.03 0.01 4 269.96 3.7000 98.95 3.3500 876.40 -117.73 42.90 4 46.397 3.6800 170.74 3.3500		.79.61	808.18	3.3500	887.79	3.6800	241.247	1331/07 -3	
Quantity Unit Cost Cost Basis Market Price Market Value Unrealized Estimated Estimated Estimated UNDS (continued) 0.250 3.7260 0.93 3.3500 0.84 -0.09 -0.09 0.04 4 270.706 3.8100 1,031.39 3.3500 906.86 -124.53 44.40 4 45.645 3.8000 173.45 3.3500 152.91 -20.54 -0.11 0.04 4 0.250 3.8060 0.95 3.3500 152.91 -20.54 -0.11 0.04 4 0.250 3.8060 0.95 3.3500 0.84 -0.11 0.04 4 0.04 4 0.04 4 0.04 4 0.04 0.04 0.04 0.04 0.04 0.04 0.04 0.04 0.04 0.01 0.04 0.02 0.04 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.02 <td< td=""><td></td><td>-15.31</td><td>155.43</td><td>3.3500</td><td>170.74</td><td>3.6800</td><td>46.397</td><td>te11/30/07:3</td></td<>		-15.31	155.43	3.3500	170.74	3.6800	46.397	te11/30/07:3	
Quantity Unit Cost Cost Basis Market Price Market Value Unrealized Gain/Loss Munual Income Estimated Estimated Estimated Estimated Estimated Estimated Estimated Estimated Science (Continued) UNDS (continued) 0.250 3.7260 0.93 3.3500 0.84 -0.09 -0.09 0.04 4 270.706 3.8100 1,031.39 3.3500 906.86 -124.53 44.40 4 45.645 3.8000 173.45 3.3500 152.91 -20.54 -0.11 0.04 4 0.250 3.8060 0.95 3.3500 0.84 -0.11 0.04 4 0.071 3.8030 0.95 3.3500 0.84 -0.11 0.04 4 261.613 3.8000 0.27 3.3500 0.24 -0.03 0.01 4 44.559 3.7900 168.88 3.3500 876.40 -117.73 42.90 4 40.559 3.7900 168.88 3.3500 149.27 -19.61 -19.61 -19.61		-94.50	904.45	3.3500	998.95	3.7000	269.986	€11/30/07 :3	
Quantity Unit Cost Cost Basis Market Price Market Value Unrealized Gain/Loss Estimated Esti		-19.61	149.27	3.3500	168.88	3.7900	44.559	d:10/31/07:3	
Quantity Unit Cost Cost Basis Market Price Market Value Galn/Loss Lunealized Estimated Estimated Estimated Estimated Estimated Estimated Estimated Estimated Estimated Science FUNDS (continued) COME FUND CLASS A (continued) 0.93 3.3500 0.84 -0.09 -0.09 44.40 4 270.706 3.8100 1,031.39 3.3500 906.86 -124.53 44.40 4 45.645 3.8000 173.45 3.3500 152.91 -20.54 -0.11 0.04 4 0.250 3.7860 0.95 3.3500 0.84 -0.11 0.04 4 0.071 3.8030 0.27 3.3500 0.24 -0.03 0.01 4		-117.73	876,40	3.3500	994.13	3.8000	261.613	110/31/07:3	
Quantity Unit Cost Cost Basis Market Price Market Value Unrealized Gain/Loss Estimated FUNDS (continued) COME FUND CLASS A (continued) 3.7260 0.93 3.3500 0.84 -0.09 -0.04 4 270.706 3.8100 1,031.39 3.3500 906.86 -124.53 44.40 4 45.645 3.8000 173.45 3.3500 152.91 -20.54 -0.11 0.04 4 0.250 3.8060 0.95 3.3500 0.84 -0.11 0.04 4 0.103 3.7860 0.99 3.3500 0.35 -0.04 0.02 4		-0.03	0.24	3.3500	0.27	3.8030	0.071	310/22/07 °,3	
Quantity Unit Cost Cost Basis Market Price Market Value Unrealized Estimated Estimated Estimated Estimated Estimated Estimated Estimated Estimated Estimated (Composition of Composition of Continued) COME FUND CLASS A (continued) 0.250 3.7260 0.93 3.3500 0.84 -0.09 -0.04 4 270.706 3.8100 1,031.39 3.3500 906.86 -124.53 44.40 4 45.645 3.8000 173.45 3.3500 152.91 -20.54 -0.11 0.04 4 0.250 3.8060 0.95 3.3500 0.84 -0.11 0.04 4		-0.04	0.35	3.3500	0.39	3.7860	0.103	10/22/07:3	
Date Acquired Quantity Unit Cost Cost Basis Market Price Market Value Unrealized Estimated Scientification MUTUAL FUNDS (continued) MFS HIGH-INCOME FUND CLASS A (continued) 0.250 3.7260 0.93 3.3500 0.84 -0.09 0.04 4 09/28/07 -3 270.706 3.8100 1,031.39 3.3500 906.86 -124.53 44.40 4 09/28/07 -3 45.645 3.8000 173.45 3.3500 152.91 -20.54 7.49 4		-0.11	0.84	3.3500	0.95	3.8060	0.250	009/28/07:3	
Date Acquired Quantity Unit Cost Cost Basis Market Price Market Value Gain/Loss Murual income MUTUAL FUNDS (continued) MFS HIGH-INCOME FUND CLASS A (continued) 0.250 3.7260 0.93 3.3500 0.84 -0.09 -0.09 0.04 4 09/28/07 -3 270.706 3.8100 1,031.39 3.3500 906.86 -124.53 44.40 4	-30	-20.54	152.91	3.3500	173.45	3.8000	45.645	109/28/07:3	
Quantity Unit Cost Cost Basis Market Price Market Value Gain/Loss Annual Income FUNDS (continued) Continued) 3.7260 0.93 3.3500 0.84 -0.09 -0.09 0.04 4		-124.53	906.86	3.3500	1,031.39	3.8100	270.706	09/28/07	
Quantity Unit Cost Basis Market Price Market Value Gain/Loss Annual Income FUNDS (continued) COME FUND CLASS A (continued)		-0.09	0.84	3.3500	0.93	3.7260	0.250	708/31/07	
Quantity Unit Cost Cost Basis Market Price Market Value Gain/Loss Annual Income							(continued) IND CLASS A (continued)	MUTUAL FUNDS	
Unrealized Estimated			Market Value	Market Price	Cost Basis	Unit Cost	Quantity	Date Acquired	
C		Unrealized						es	
								C:	







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Clearing through Perstring LC, a wholly owned subsidiary of The Bank of New York Melion Corporation (BNY Melion)
Perstring LLC, matricer FIRRA, NYSE, SEC

003092 10/16

Desc:

02/26/10:3	CO)2/26/10	\$1/29/10	101/29/10	7.12/31/09	0.2/31/09	21/30/09	31/30/09.3	_10/30/09*3	0/30/09*3	09/30/09**	C)9/30/09 .3	C)8/31/09 -3	108/31/09*3	507/31/09:3	13/1/109:3	70630/09-3	05000903	10年9/09:3	E. 60/6175@	£. 60/05/10	1,400/09.3	3.301/09.3	5. 60/1NG	5. 60/Lengo	E: 60/1/20	D 30/09 :	C)1/30/09 -3	₩1/20/09°3	11/20/09 -3	2/31/08 -3	MFS HIGH-INCO	MUTUALFU	OPate Acquired	13:3
**	31/	- fin	31	40	31	50	370	40	314	5(310	55	328	ţr.	321	S.	34	Ç.	350	ć,	36	6	39.	6	41.	6	24	L.	8	6	MFS HIGH-INCOME FUND CLASS A (continued)	MUTUAL FUNDS (continued)	Q	
49.877	314.667	-							314.316	50.109	316.237	52.117	328.742	52.068	328.642	55.036	347.281	55,641	350.974	57.716	364.153	62.502	394.201	65.430	412.662	61.731	245.137	55.036		63.174	inued)		Quantity L	
3.2400	3.2400	3.2600	3,2600	3.2300	3.2300	3.1600	3.1600	3.1600	3.1600	3.1200	3,1200	2.9800	2.9800	2.9600	2.9600	2.7800	2,7800	2.7300	2.7300	2.6100	2.6100	2.3900	2.3900	2.3700	2.3700	2.4900	2,4900	2.4700	2,4700	2,4100			Unit Cost	
161.60	1,019.52	160.58	1,013.03	159.61	1,006,53	158.46	999.86	157,48	993.24	156.34	986.66	155.31	979.65	154.12	972.78	153.00	965.44	151.90	958.16	150.64	950.44	149.38	942.14	155.07	978.01	153.71	610.39	135.94	202.89	152.25			Cost Basis	
3.3500	3,3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500	3.3500			Market Price	
167.09	1,054.13	165.01	1,040,99	165.54	1,043.92	167.99	1,059.98	166.95	1,052.96	167.87	1,059.39	174.59	1,101.29	174.43	1,100.95	184.37	1,163.39	186.40	1,175.76	193.35	1,219.91	209.38	1,320,57	219.19	1,382.42	206,80	821.21	184.37	275.18	211.63			Market Value	
5.49	34.61	4,43	27.96	5.93	37.39	9.53	60.12	9.47	59.72	11.53	72.73	19.28	121.64	20.31	128.17	31.37	197.95	34.50	217.60	42.71	269.47	60.00	378.43	64.12	404.41	53.09	210.82	48.43	72.29	59.38			Gain/Loss	Unrealized
8.18	51.61	8,08	50.96	8.10	51.11	8.22	51,89	8.17	51.55	8.22	51.86	8.55	53.91	8.54	53.90	9.03	56.95	9.13	57.56	9,47	59.72	10.25	64.65	10.73	67.68	10.12	40.20	9.03	13,47	10.36			Annual Income	Estimated Es
4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%	4.89%			Yield	Estimated

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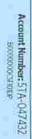
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Desc		Half Cost	Cost Rasis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Estimated Annual Income Yield	E 6.
MUTUAL FUNDS (continued)	ed)							
703/31/10 -3	310.012	3.3100	1,026.14	3.3500	1,038.54	12.40	50.84 4.89%	95
03/31/10 **3	49.157	3.3100	162.71	3.3500	164.68	1.97	8.06 4.89%	96
104/30/10 3	306,421	3.3700	1,032.64	3.3500	1,026.51	-6.13	50.25 4.89%	35
Op4/30/10 :3	48.593	3.3700	163.76	3.3500	162.79	-0.97		96
05/28/10 *3	320.716	3,2400	1,039.12	3.3500	1,074.40	35.28		26
€. 01/28/10	50.821	3.2400	164.66	3.3500	170.25	5.59		35
106/30/10 -3	321.785	3.2500	1,045.80	3,3500	1,077.98	32.18	52.77 4.89%	35
06/30/10	51.034	3.2500	165.86	3.3500	170.96	5.10		35
Q07/30/10 *.3	314.182	3.3500	1,052,51	3.3500	1,052.51	0.00	51.53 4.89%	상
4)7/30/10 ·3	49.797	3.3500	166.82	3.3500	166.82	0.00		96
31/10:3	318.087	3.3300	1,059.23	3,3500	1,065.59	6.36	52.17 4.89%	82
E- 01/1020	50,441	3.3300	167.97	3.3500	168.98	1.01	8.27 4.89%	96
C. 01/0£764O	312.581	3,4100	1,065.90	3.3500	1,047.15	-18.75	51.26 4.89%	35
10920/10:3	49.543	3.4100	168,94	3.3500	165.97	-2.97		%
3 09/10:3	294.352	3,4700	1,021.40	3.3500	986.08	35.32		8
01009/10:3	46,648	3.4700	161.87	3.3500	156.27	5.60		1 24
ed: 1/30/10	300,363	3,4200	1,027.24	3 3500	15053	2017-	2087 18£	16 M
11/10 1	208 621	3.4600	1 033 23	3 3500	1 000 38	-32.85		80
1111/10:2	47.318	3.4600	163.72	3.3500	158.52	-5.20		%
=	295.222	3.5200	1,039.18	3.3500	988.99	-50.19	48.42 4.89%	96
10 E 1/11 : 3	46.781	3.5200	164.67	3.3500	156.72	-7.95	7.67 4.89	96
502/28/11:3	295.254	3.5400	1,045.20	3.3500	989.10	-56,10		96
#02/28/11**	46.814	3.5400	165.72	3,3500	156.83	-8.89	7.68 4.89%	96
C)3/31/11*3	297.717	3.5300	1,050.94	3.3500	997.35	-53,59		96
D3/31/11*3	47.170	3.5300	166.51	3.3500	158.02	-8.49		96
04/29/11 3	296.896	3.5600	1,056.95	3.3500	994.60	62 05	48.69 4.89%	. 96
104/29/111-3	47,090	3.5600	167.64	3,3500	157,75	.9.89		96
05/31/11.3	299,431	3.5500	1,062,98	3.3500	1,003,09	-59.89		. 96
S3)5/31/11 **	47.487	3.5500	168.58	3,3500	159.08	-9.50		8
3206/30/11:3	306.275	3.4900	1,068.90	3.3500	1,026,02	288.74		. 5
C06/30/117.3	48,556	3,4900	169.46	3,3500	162.66	6.80		76.7
707/29/11 -3	307.166	3.5000	1,075.08	3,3500	1,029,01	46.07		3
57/29/11-3	48.700	3.5000	170.45	3,3500	163.14	7.31		36
S08/31/11:3	323.725	3.3400	1,081.24	3,3500	1,084.48	3,24		2/6
C)8/31/1178	51.326	3,3400	171,43	3.3500	171.94	0.51		96
09/30/11 -2	337,811	3,2200	1,087.75	3.3500	1,131.67	43.92	55.40 4.89%	96
							Page 20 of 31	-







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Corporation (BNY Molloc)

Forething LLC, member 2 NRA, RVSE, SIVC

31

Desc:

13:0						Unrealized	Estimated Estimated	mated
ODate Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss	Annual income	Yield
MUTUAL FUNDS (continued,	ned)							
MES HIGH-INCOME FUND CLASS A (continued	A (continued)							
01/09/30/11 -3	53.559	3.2200	172.46	3.3500	179.42	6.96	8.78	1.89%
1:0/31/11 -3	323.772	3.3800	1,094.35	3.3500	1,084.64	-9.71	53.10	1.89%
C10/31/11 -3	51.346	3.3800	173.55	3.3500	172.01	-1.54	1.5	1.89%
ter 1/30/11 -3	334.635	3.2900	1,100.95	3.3500	1,121.03	20.08		4.89%
320/11 · 3	53.055	3.2900	174.55	3,3500	177.73	3.18		1.89%
120/11.3	329.652	3,3600	1,107.63	3.3500	1,104.34	-3.29		1.89%
912/30/11 53	52.235	3.3600	175.51	3,3500	174.99	-0.52		1.89%
150N Noncovered	29,812,070		103,013.85		99,870.48	-3,143.37	4,889.23	
/3: 01/1123	315.782	3,4400	1,086.29	3.3500	1,057.87	-28,42		1.89%
20031/123	50.032	3.4400	172.11	3,3500	167.61	-4.50		189%
-02/29/12°3	313:029	3.4900	1,092.47	3.3500	1,048.65	-43.82		1,89%
©02H29/12°3	49.619	3,4900	173.17	3.3500	166.22	-6,95		1.89%
F0#0/123	316.611	3,4700	1,098.64	3.3500	1,060.65	-37.99		1.89%
0开80/123	50,196	3,4700	174.18	3.3500	168.16	-6.02	27.5	1.89%
9-0-30/123	317,483	3,4800	1,104.84	3.3500	1,063.57	-41.27		1.89%
104/30/12 3	50.333	3,4800	175.16	3,3500	168.62	-6,54		1.89%
505/31/123	325,806	3,4100	1,111.00	3,3500	1,091.45	-19,55		1.89%
#05/31/12 3	51.636	3,4100	176.08	3.3500	172.98	-3.10		1.89%
O06/29/12 3	322,905	3,4600	1,117.25	3.3500	1,081,73	-35.52		1.89%
06/29/123	51,171	3.4600	177.05	3.3500	171.42	-5.63	8.39 /	4.89%
07/31/12 3	321.054	3.5000	1,123.69	3.3500	1,075.53	-48.16		1.89%
F07/31/12 3	50.911	3,5000	178.19	3.3500	170.55	-7.64		1.89%
-08/31/12 ³	311.856	3.5300	1,100.85	3,3500	1,044.72	-56,13		1.89%
008/31/12 ³	49,442	3,5300	174.53	3,3500	165.63	-8,90		1,89%
3209/28/123	311.763	3,5500	1,106.76	3.3500	1,044,41	-62.35		1.89%
O09/28/12³	49,434	3.5500	175.49	3.3500	165.60	-9.89		1,89%
710/31/123	313,479	3.5500	1,112.85	3.3500	1,050.16	-62.69		189%
:10/31/12 ³	49.687	3,5500	176.39	3.3500	166.45	.9.94		1,89%
S11/30/12 3	314.242	3.5600	1,118.70	3.3500	1,052.71	-65.99		1.89%
C11/30/12 3	49.803	3.5600	177.30	3.3500	166.84	-10.46		89%
12/31/12 3	313.281	3.5900	1,124.68	3.3500	1,049.49	-75.19	51.38 4	89%

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4.89%	8.26	-0.50	168.71	3.3500	169.21	3.3600	50,360	08/31/15 3
4.89%	8.03	4.40	163.94	3.3500	168.34	3.4400	48.936	C97/31/15 a
4.89%	8.16	-6,47	166.59	3.3500	173.06	3.4800	49.730	
4.89%	7.98	-9.25	163.05	3.3500	172.30	3,5400	48.672	705/29/15*
4.89%	7.93	-9.66	161.89	3.3500	171.55	3.5500	48,324	704/30/15 3
4.89%	7.70	7.98	157.30	3.3500	165.28	3.5200	46.955	O3/31/153
4.89%	7.61	-9.28	155.36	3.3500	164.64	3,5500	46.377	202/27/153
4.89%	7.70	-6.58	157.37	3.3500	163.95	3.4900	46.977	831/30/153
4.89%	7.69	-6.09	157.02	3,3500	163,11	3.4800	46,871	12/31/143
4.89%	7.53	-8.73	153.81	3.3500	162.54	3.5400	45,915	11/28/143
4.89%	7,41	-10,40	151.42	3.3500	161.82	3.5800	45.201	10/31/14-3
4.89%	7.92	-9.65	161.70	3,3500	171.35	3.5500	48.268	₾9/30/143
4.89%	7.92	-14.01	161.76	3.3500	175.77	3.6400	48,288	G)8/29/143
4.89%	7.97	-12_14	162.75	3.3500	174.89	3.6000	48.581	#07/31/14.3
4.89%	7.81	-14.76	159.49	3.3500	174.25	3,6600	47.609	506/30/143
4.89%	7.79	-14.25	159.08	3.3500	173.33	3.6500	47,488	105/30/143
4.89%	8,00	-14.15	163.45	3.3500	177.60	3.6400	48.791	00/143
4,89%	7.96	-14.08	162.67	3.3500	176.75	3.6400	48,558	0世1/143
4,89%	7.90	-14.46	161.42	3.3500	175.88	3,6500	48,186	回班8/14.3
4.89%	7.98	-12.16	162.94	3.3500	175.10	3,6000	48,639	E0131/143
4.89%	7.71	-11,75	157.51	3.3500	169.26	3.6000	47.017	d:(12/31/133
4.89%	7.70	-11.27	157.27	3.3500	168.54	3.5900	46,947	01,189/133
4.89%	7.64	-11.65	156.06	3,3500	167.71	3,6000	46.586	/3. 1/13 3
4.89%	7.73	-8.95	157.90	3.3500	166.85	3.5400	47.133	1/10930/133
4.89%	7.74	-B.02	158.09	3.3500	166.11	3.5200	47.190	908/30/133
4.89%	7.61	-9.75	155.48	3.3500	165.23	3.5600	46,413	081/133
4.89%	7,91	-7.72	161.53	3.3500	169.25	3.5100	48.219	0008/133
4.89%	7.65	-12.13	156.25	3.3500	168.38	3.6100	46.643	E05/31/13 3
4.89%	7.75	-14.78	158.28	3,3500	172.46	3.6500	47.249	C04/30/13 3
4.89%	48.88	-89.41	998.39	3,3500	1,087.80	3.6500	298.027	04/30/13/3
4.89%	7.80	-12.36	159.32	3.3500	171.68	3.6100	47.557	103/28/13 3
4.89%	49.18	-77.96	1,004.51	3.3500	1,082.47	3.6100	299.853	003/28/13 ₹
4.89%	8.20	-12,51	167,58	3,3500	180.09	3.6000	50,025	02/28/13 3
4.89%	51.78	-78,93	1,057,63	3.3500	1,136.56	3.6000	315.711	002/28/13 3
4.89%	8.14	-12,91	166.28	3.3500	179.19	3.6100	49.637	101/31/133
4.89%	51.36	-81.43	1,049,18	3,3500	1,130.61	3.6100	313.188	01/31/133
4.89%	8.14	-11.92	166.33	3.3500	178.25	3.5900	49.652	712/31/12³
							ontinued) CLASS A (continued)	MUTUAL FUNDS (continued) OVER HIGH-INCOME FUND CLASS A (continued)
Yield	Annual Income	Gain/Loss	Market Value	Market Price	Cost Basis	Unit Cost	Quantity	Date Acquired
stimated	Estimated Estimated	Unrealized						es
								C:







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Clearing through Purshing LLC a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)
Penthing LLC, member 1984A, NYSS, SUC

March 1, 2018 - March 31, 2018 PABLO DEL VALLE RIVERA

Desc:

						Unrealized	Estimated Fe
OPate Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss	Annual Income Yield
MUTUAL FUNDS (continued)	ed)		-				
MAS HIGH-INCOME FUND CLASS A (continued)	(continued)	200000					
109/30/15 3	51.942	3.2700	169.85	3.3500	174.00	4.15	8.52 4.89%
30/15-3	49.322	3.3500	165.23	3.3500	165.23	0.00	1
30/15 3	50.902	3.2600	165.94	3.3500	170.52	4.58	
westments to Date	18,906.893	3,4450	65,143.31	3.3500	63,338.09	-1,805.22	
Covered	26,214.387		90,943.16		87,818.17	-3,124.99	
Valley 13	17,730.130	N/A	Please Provide	3.3500	59,395.94	N/A	2.907.74 4.89%
9/24aus - 3	294,443	N/A	Please Provide	3.3500	986,39	N/A	
angus 13	4,691.465	N/A	Please Provide	3.3500	15,716.38	N/A	
Onallocated	22,716.038		Please Provide		76,098.71	N/A	
Tettal	78,742.495		N/A		\$263,787.36	N/A	\$12,913.76
NKLIN MUTUAL SHARES CLASS	SA		Securi	Security Identifier: TESIX			
n End Fund				CUSIP: 628380602			
Distinct Option: Reinvest: Capital Gains Option: Reinvest	Gains Option: Reinv	est .					
100002/03:3,12	3,929.471	19.8500	78,000.00	27,5200	108,139.04	30,139.04	2,385,19 2.20%
012/12/03 *-3.12	24.712	20.5600	508.08	27.5200	680.07	171.99	
006/21/04 - 3.12	16.451	21.3200	350.74	27.5200	452.73	101.99	9.99 2.20%
2/20/04 *3,12	39.283	22.5000	883.86	27,5200	1,081.07	197.21	23.84 2.20%
2/20/04.3,12	74.842	22.5000	1,683.95	27.5200	2,059.65	375.70	45.43 2.20%
0 2/27/04 -3.12	2.043	22.7950	46.57	27.5200	56.22	9.65	
06/20/05 .3,12	6.958	23.6120	164.29	27.5200	191.49	27.20	
S6/20/05 -3,12	4.899	23.6090	115.66	27.5200	134.82	19.16	
2/27/05 *****	50,049	23.8800	1,195.17	27.5200	1,377.35	182.18	-
2/2/27/05 *.3,12	26.998	23.8800	644.72	27.5200	742.98	98.26	
2/27/05 3.12	154.798	23.8800	3,696.58	27.5200	4,260.04	563.46	
06/19/06 -3/12	29.020	24.0700	698.51	27.5200	798.63	100.12	
406/19/06 · 3.12	30.297	24.0700	729.26	27.5200	833.77	104.51	
2/26/06 -3,12	42.500	25.7500	1,094.38	27.5200	1,169.60	75.22	
O2/26/06 -3,12	213.541	25.7500	5,498.69	27.5200	5,876.65	377.96	
20/00	47,004	20.7300	1,203,37	0025.72	1,350.24	80.80	
Choi loloi	0.7.17	7071607	177.00	007077	YULY	4	3.// 2.20%

Account Number: 5TA-047432

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Page 23 of 31

36,260.29 5.05% 501.54 5.05%	-12,347.82 -91,93	717,792.68 9,928.36	12.2100 12.2100	730,140.50 10,020.29	12.4200 12.3230	58,787.279 813.134	Ceinvestments to Date 813.134 12.3
			Security Identifier: PONAX CUSIP: 72201F474	Security		2	Dpen End Fund CLASS A
\$1,225,76	.\$6,591.42	\$178,203.27		\$184,794.69		21,892.293	fotal
/,225.96	-6,591.42	178,203.27		184,794.69		21,892.293	പ്പ്otal Covered
1,080.74 4.05%	368.32	26,652.75	8.1400	26,284.43	8.0280	3,274.293	Reinvestments to Date
	-1,150.50	62,352.40	8.1400	63,502.90	8.2900	7,660.000	S)6/16/15
	-5,809.24	89,198.12	8.1400	95,007.36	est 8.6700	Ital Gains Option: Reinv 10,958.000	Orvidend Option: Reinvest, Capital Gains Option: Reinvest 10,958.000
			CUSIP: 6838IKIUI				CLASS A
			Security Identifier: 00SAX	Security		ING RATE FUND	OPPENHEIMER SENIOR FLOATING RATE FUND
\$5,704.08	\$34,590.20	\$231,407.48		\$196,817.28		8,408.702	OT CENT
1,/80.88	-2,931.21	80,741.61		83,672.82		2,933.925	ota Covered
1,135.33 2.20%	124.23	51,473.52	27.5200	51,349.29	27,4540	1,870.404	Rem/estments to Date
	-3,582.30	26,419.20	27,5200	30,001.50	31.2520	960,000	P.ZH3/15
	333,13	1,837,23	27,5200	1,504.10	22.5300	66.760	d:2/21/12 3,12
22.31 2.20%	193.73	1,011.66	27.5200	817.93	22.2500	36.761	0001/123,12
	37,521.41	150,665.87		113,144.46		5,474.777	Noncovered
46.22 2.20%	631.18	2,095.29	27.5200	1,464.11	19.2300	76.137	27.0/11 .2.12
29.43 2.20%	414.05	1,334.28	27.5200	920.23	18,9800	48.484	zr.c. 11/7 67 90
	711.10	2,760.12	27,5200	2,049.02	20.4300	100.295	12 1/10 ·3.12
30.08 2.20%	415.30	1,363.84	27.5200	948,54	19.1400	49.558	32 8/10 -3,12
24.56 2.20%	354.07	1,113.60	27.5200	759.53	18 7700	40 465	2/2/09/09/12
39.92 2.20%	650,39	1,809.80	27.5200	1,159.41	17.6300	65.763	39/08/09 -3,12
36.46 2.20%	751.43	1,653.02	27,5200	901.59	15.0100	60.066	2/22/08 -3.12
23.62 2.20%	247.44	1,070.72	27.5200	823.28	21.1600	38.907	109/08/08 -3,12
3.77 2.20%	39,52	171.01	27.5200	131,49	21.1600	6.214	21,5, 80/80/90
5.09 2.20%	53,28	230.59	27.5200	177.31	21,1610	8.379	169/08/08 ·3.12
	275.27	3,265.14	27,5200	2,989,87	25.2000	118.646	03/2/24/07 -3,12
	347.50	4,122.03	27.5200	3,774.53	25.2000	149.783	12/24/07 *3,12
	11,63	140.33	27.5200	128.50	25.2010	5.099	12/24/07 *3,12
	.m.54	160.66	27.5200	166.20	28.4690	5.838	06/18/07 -3,12
						nued) .ASS A (continued)	MUTUAL FUNDS (continued) GRANKLIN MUTUAL SHARES CLASS A (continued)
Annual Income	Gain/Loss	Market Value	Market Price	Cost Basis	Unit Cost	Quantity	Date Acquired
Estimated Estimated	Unrealized						es







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Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon) Purshing LLC, member PBNRA, NYSL, SPC

Desc:

PABLO DEL VALLE RIVERA March 1, 2018 - March 31, 2018

Portfolio Holdings (continued)

Annual Income \$177,642.05	Interest \$0.00	Gain/Loss -\$2,075,013.32	Market Value \$3,092,390.28	ω tr	Cost Basis \$5,084,312.73	TOTAL PORTFOLIO HOLDINGS	100AL PORTI
\$66,742.06 Esti	Accrued	\$53,791.31 Unrealized	\$1,640,020.76		\$1,510,130.74	ALFUNDS	erotal mutual funds
\$36,761.83		\$12,439.75	\$727,721.04		\$740,160.79	59,600.413	Fotal
36,761.83		-12,439.75	727,721.04		740,160.79	otal Covered 59,600.413	Otal Covered 59,
Annual Income Yiel		Gain/Loss	Market Value	Market Price	Unit Cost Basis	Quantity	ODate Acquired

Noncovered under the cost basis rules as defined below.

Comberally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1996, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

There: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost beginning the cost of the IRS.

OCOS'T Basis on fixed income securities may be adjusted for amortization, accretion, original issue discount adjustments, or principal paydowns. The calculation is based upon the daypayer election, type of fixed income security, and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may there a temporary incorrect adjusted cost basis reflected until the cost basis system is amended to reflect this change. These calculations will not be performed under certain #circumstances, including those involving foreign bonds, bonds sold short or bonds issued with less than one year to maturity. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

This bond is maturing.

This bond is maturing.

The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information

Unrealized gains and losses are not reported for securities for which cost basis or market value is not available.

Pershing has received updated cost basis information, therefore cost basis provided on previous client brokerage statements may differ from the new cost basis reported in this section

Portfolio Holdings Disclosures

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prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total. his section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market

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Portfolio Holdings Disclosures (continued)

CPricing (continued)

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE

Estimated Annual Figures

may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures Investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal Nhe estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or Oguarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making

OAccrued interest represents interest earned but not yet received.

13 Reinvestment
14 The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may concluding the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your production of the person from the person

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Spr In the respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished

Intified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial Proporate action transactions unless you instruct your financial organization otherwise. Pershing's currency convert foreign currency to or from U.S. dollars for dividends and similar imporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate.

the number of shares in your margin account.

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\$15,436.44	\$0.00	\$15,436.44	\$5,056.65	\$0.00	\$5,056.65	Dividends and Interest
-\$15,259	-\$15,259.95	\$0.00	\$5,003.84	-\$5,003.84	\$0.00	Se otal Securities
-15,259.95	-15,259.95	0.00	-5,003.84	-5,003.84	0.00	1 Securities Bought
Net Year-to-Da	Debits Year-to-Date	Credits Year-to-Date	Net This Period	Debits This Period	Credits This Period	

Account Number: 51A-047432





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Corporation (BNY Mellon) Clearing through Purshing LLC, a wholly pwied subsidiary of The Bank of New York Mellon Pershing LC, member FIMIA, NYSE SIPC

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March 1, 2018 - March 31, 2018 PABLO DEL VALLE RIVERA

Desc:

37:	Credits This Period	Debits This Period	Net This Period		Credits Year-to-Date	Debits Year-to-Date
Taxes Withheld	\$0.00	\$0.00	\$0.00		\$0.00	-\$6.30
31/19,1 Totals	\$5,056.65	-\$5,003.84	\$52.81		\$15,436.44	-\$15,266.25
Transactions by Type of Activity						
Corocas/						
Date Activity Type	Description			Quantity	Price	Acqued Interest
Lsecurities Bought and Sold						
/19 ₀₃ @1/18 REINVEST CASH INCOME MSFRX	MFS TOTAL RETURN PURCH, AT \$18,8900	MFS TOTAL RETURN FUND CLASS A 12816.9160 SHRS SHRS PURCH, AT \$18.89000 RD 02/27 PD 03/01/18	RS SHRS	20.355		
-	MES HIGH-INCOME	MFS HIGH-INCOME FUND CLASS A SHRS PURCH, AT \$3.39000 FOR ACCRUAL PERIOD ENDING 02/28/18	T \$3.39000	312.310		
9501/18 REINVEST CASH INCOME	OPPENHEIMER SENI	OPPENHEIMER SENIOR FLOATING RATE FUND CLASS A SHRS	SS A SHRS	62,424		
	FUKUH. AT \$6,17000	FURCH, AT \$8.1/000 FUR ACCRUAL PERIOD ENDING 02/28/18	G 02/28/18			
9 E PONAX PONAX	PIMCO INCOME FUN FOR ACCRUAL PERIO	PIMCO INCOME FUND CLASS A SHRS PURCH, AT \$12,21000 FOR ACCRUAL PERIOD ENDING 02/28/18	221000	249.844		
of otal Securities Bought and Sold						\$0.00
Dividends and Interest CASH DIVIDEND RECEIVED MSFRX	MFS TOTAL RETURN PD 03/01/18	MFS TOTAL RETURN FUND CLASS A 12816-9160 SHRS RD 02/27 PD 03/01/78	RS RD 02/27			
O3/01/18 CASH DIVIDEND RECEIVED MHITX	MFS HIGH-INCOME ENDING 02/28/18	MFS HIGH-INCOME FUND: CLASS A FOR ACCRUAL PERIOD ENDING 02/28/18	ERIOD			
03/01/18 CASH DIVIDEND RECEIVED ODSAX	OPPENHEIMER SENIOR FLOATING R ACCRUAL PERIOD ENDING 02/28/18	OPPENHEIMER SENIOR FLOATING RATE FUND CLASS A FOR ACCRUAL PERIOD ENDING 02/28/18	SS A FOR			
ise:17-03						

Account Number: 5TA-047432 8000000039889





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Transactions by Type of Activity (continued)

CSettlement CDate	Activity Type	Description	Quantity	Price	Accrued Interest	Amount Currency	штепсу
Dividends and	Dividends and Interest (continued)						
52 03/01/18	CASH DIVIDEND RECEIVED PONAX	PIMCO INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 02/28/18				3,050.60	USD
3:37:	MONEY MARKET FUND INCOME RECEIVED	FEDERATED CAPITAL				52.81	USD
Orotal Dividends and Interest	is and interest				\$0.00	\$5,056.65	USD
Total Value o	Total Value of Transactions				\$0.00	\$52.81	1 USD
01/3 he price and o	The price and quantity displayed may have been rounded.	n rounded.					
ed:							

LPershing has been advised by your financial organization that it does not receive payment for directing order flow. See the Terms and Conditions section of your brokerage account storement for a description of Pershing's payment for order flow practices.

Applicational information regarding order-routing practices and the venues to which your financial organization's orders are routed, you may visit orderrouting disclosure.com.

Pool of the six months prior to your request and the time of the venue to which your orders were routed for the six months prior to your request and the time of organizations that may have resulted from such orders.

One of the six months prior to your request and the time of the venue to which your orders were routed for the six months prior to your request and the time of organizations that may have resulted from such orders.

One of the six months prior to your request and the time of the venue to which your orders were routed for the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the time of the six months prior to your request and the six months prior to your request and the six months prior to your prior to you Edited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for

Haditional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

ONE PURIENTAL FINANCIAL SERVICES DISCLOSURE STATEMENT

Walued Investor, Oriental Financial Services ("OFS") would like to remind you that the securities held in your Investment Account are NOT insured by the Federal Deposit Insurance of Company, and are subject to investment risk, including the possible loss of the principal invested. For more information, please consult your Investment Advisor.

🔥 Advisor. Oriental Financial Services is a wholly owned subsidiary of OFG Bancorp ("OFG") and an affiliate of Oriental Bank. OFG and Oriental Bank are not FINRA nor SIPC members. December 31,2018 in the amount of \$15,000.00 or more, maintain a Money Market Positions in excess of \$20,000, systematic reinvestment activity (SRS) over \$275.00, Corestone Accounts (except Corestone Silver accounts), and Trust accounts (ie. Keoghs, IRA's, etc) in addition to OMI Accounts. If you have questions, please contact your investment OFS charges a \$175.00 inactive account fee to all accounts that have not had any trading activity during the 2018 calendar year. Exempt are accounts that settle a trade prior to

20FS charges an annual fee of \$125.00 for each brokerage account. Exempt from this fee are OMI accounts, DGI, accounts under Oriental Trust as TTEE and any other account that has observed the inactive annual fee.

Account Number, 5TA-047432





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PABLO DEL VALLE RIVERA March 1, 2018 - March 31, 2018

mportant Information and Disclosures

inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without

Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your

suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.

Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.

Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advices to you and in general, for the ongoing relationship that it has with you.

In a personnel to restrict the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding the position can be found on the first page of this statement in the first page of this statement. acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advices account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the

O a definitive enumeration of every possible circumstance, but as a general disclosure, if you have any questions regarding this notice or if you would like additional copies of the ODisclosure Statement, please contact your financial institution.

Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC O For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement

protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after

receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your

rights, including your rights under the Securities Investor Protection Act.

Filed:01/31/19

Tights, including your rights under the control of One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department.

All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which

Arbitration awards are generally final and binding: a party's ability to have a court reverse or modify an arbitration award is very limited.

The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings

panel at least 20 days prior to the first scheduled hearing date. The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the

The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.

The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.

03283-LTS The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (1) the class certification is denied; (11) the class is decertified, or C

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Important Information and Disclosures (continued)

Important Arbitration Agreement (continued)

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.

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Pershing LLC mornton HRRA, NYSE, SIPC

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Account Number: 5TA-047432

TERMS AND CONDITIONS

O SUBJECT TO TH 13:37:52 ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE DATE, WHICHEVER IS LATER. WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL TILE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN

YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT.

TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT.

THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

TREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

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00R GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER BOORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR ARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF

SPECTION UPON REQUEST.

OPER GENERAL ACC PERSULATION TOF THE SEE OF THE SEE SUBJECTION UPON R SUBJECTION UPON DVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU, USE THAT STATEMENT TO PREPARE YOUR TAX FLINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL SPORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS. GENERAL INFORMATION.

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Case:17-03283-LTS WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS. GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.

- BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR, CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
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- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS. FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES

RULE 607(A)(1)-(2) PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS-

COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT. ORDERS TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL TO CERTAIN OPTION EXCHANGES. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION WRITTEN REQUEST, PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS, CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION

COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO), ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO, PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES. BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07359, ATTN: LEGAL DEPT



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